



PATENT
Docket No. 1895-010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

MICHAEL SAUCIER et al.

Entitled: "SYSTEM AND METHOD FOR
FACILITATING TRANSACTIONS
BETWEEN PRODUCT BRAND MANAGERS
AND MANUFACTURING
ORGANIZATIONS"

Serial No.: 10/055,870

Filed: January 21, 2002

)
) Preliminary
) Group Art Unit: 2166
)
) Examiner: Unknown

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ORIGINALLY FILED**

BOX MISSING PARTS
Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

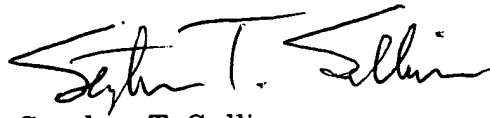
**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Applicants hereby respond to the Notice to File Missing Parts of
Nonprovisional Application mailed on February 15, 2002. Attached hereto and
submitted herewith is a Declaration and Power of Attorney form executed by the
inventors, and the return copy of the Notice.

A check for \$ 1,104.00 is attached to cover the filing fee and declaration
surcharge. If there are any other fees due in connection with this application,
please charge the fees to our Deposit Account No. 501324.

Dated: April 15, 2002

Respectfully submitted,



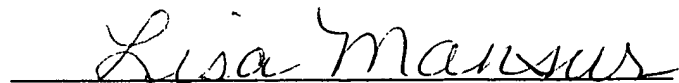
Stephen T. Sullivan

Reg. No. 32,444

CERTIFICATE OF MAILING

Date of Deposit: April 15, 2002

I hereby certify that this Response to Notice to File Missing Parts of Nonprovisional Application with attached return copy of the Notice and Declaration of Power of Attorney is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to BOX MISSING PARTS, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	10/055,870
Filing Date	January 21, 2002
First Named Inventor	Michael Saucier et al.
Examiner Name	Unknown
Group / Art Unit	2166
Attorney Docket No.	1895-010

TOTAL AMOUNT OF PAYMENT (\$) 1104**METHOD OF PAYMENT (check all that apply)**
☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:Deposit
Account
Number

501324

Deposit
Account
Name

Sullivan Law Grop

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 370)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
85	-20 ** = 65	X 9	= 585
Independent Claims	5	-3 ** = 2	X 42 = 84
Multiple Dependent		X	= 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 669)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	


Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 65)

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Stephen T. Sullivan	Registration No. Attorney/Agent	32,444	Telephone	(602) 956-6161
Signature				Date	April 15, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Application Number	10/055,870
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First Named Inventor	Michael Saucier et al.
Examiner Name	Unknown
Group / Art Unit	2166
Attorney Docket No.	1895-010

TOTAL AMOUNT OF PAYMENT (\$) 1104

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 501324

Deposit Account Name Sullivan Law Group

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

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FEE CALCULATION (continued)

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169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 65

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Stephen T. Sullivan	Registration No. Attorney/Agent	32,444	Telephone	(802) 956-6161
Signature				Date	April 15, 2002

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CERTIFICATE OF MAILING

Date of Deposit: April 15, 2002

I hereby certify that this Fee Transmittal for FY 2002 form and check are being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to BOX MISSING PARTS, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.

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Lisa Mawur



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PATENT
Docket No. 1895-010

Receipt #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

MICHAEL SAUCIER et al.

Entitled: "SYSTEM AND METHOD FOR
FACILITATING TRANSACTIONS
BETWEEN PRODUCT BRAND MANAGERS
AND MANUFACTURING
ORGANIZATIONS"

Serial No.: 10/055,870

Filed: January 21, 2002

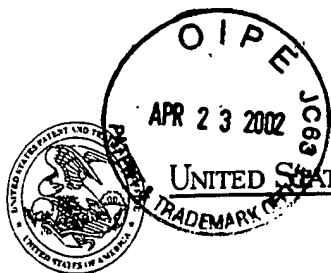
)
) Preliminary
) Group Art Unit: 2166
) Examiner: Unknown

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request the issuance of a corrected filing receipt to correct the following error in the originally-issued Filing Receipt.

Under the "TITLE" section, the title should be amended to insert -- FOR -- after "METHOD" so that the title as corrected reads "SYSTEM AND METHOD FOR FACILITATING TRANSACTIONS BETWEEN PRODUCT BRAND MANAGERS AND MANUFACTURING ORGANIZATIONS."

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/055,870	01/21/2002	2166	0.00	1895-010	65	85	5

CONFIRMATION NO. 9212

FILING RECEIPT



OC000000007487457

Stephen T. Sullivan
SULLIVAN LAW GROUP
Suite 120
5060 North 40th Street
Phoenix, AZ 85018-2140

Date Mailed: 02/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael Saucier, Residence Not Provided;
Ray Hall, Residence Not Provided;
Dennis Brandl, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/15/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

for
System and method facilitating transactions between product brand managers and manufacturing organizations

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

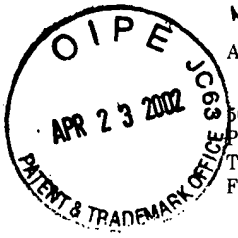
This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#3



Sullivan Law Group

A Professional Corporation

6060 North 40th Street, Suite 120
Phoenix, Arizona 85018-2140
Telephone (602) 956-6161
Facsimile (602) 956-6262

Patents, Trademarks, Copyrights,
Related Intellectual Property Law
Federal Government Procurement Law

1200 G Street, N.W., Suite 800
Washington, D.C. 20005
Telephone (202) 661-6114
Facsimile (202) 661-6117

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April 15, 2002

Via Express Mail

BOX MISSING PARTS

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

***Re: U.S. Patent Application Entitled
"SYSTEM AND METHOD FOR FACILITATING
TRANSACTIONS BETWEEN PRODUCT BRAND
MANAGERS AND MANUFACTURING ORGANIZATIONS"
Serial No. 10/055,870
Filing Date: January 21, 2002
Our Ref. 1895-010***

Dear Sir:

We hereby submit the following documents in connection with the U.S. patent application entitled, "SYSTEM AND METHOD FOR FACILITATING TRANSACTIONS BETWEEN PRODUCT BRAND MANAGERS AND MANUFACTURING ORGANIZATIONS," inventors Michael Saucier, Ray Hall and Dennis Brandl:

1. Response to Notice to File Missing Parts of Nonprovisional Application (with Certificate of Mailing), attached return copy of the Notice and Declaration and Power of Attorney;
2. Fee Transmittal for FY 2002 (with Certificate of Mailing);
3. Check in the amount of \$ 1,104.00 for filing fee and declaration surcharge;
4. Request for Corrected Filing Receipt (with Certificate of Mailing) and attached Filing Receipt; and

Assistant Commissioner for Patents

April 15, 2002

Page 2

5. Postcard for receipt acknowledgment.

Sincerely,

A handwritten signature in black ink, appearing to read "Stephen T. Sullivan". The signature is fluid and cursive, with a large initial "S" and a distinct "T" and "S" for the last name.

Stephen T. Sullivan

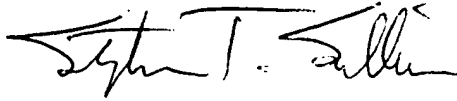
Reg. No. 32,444

STS/lam
Enclosures

A copy of the original filing receipt with the proposed corrections marked in red is attached.

Dated: April 15, 2002

Respectfully submitted,

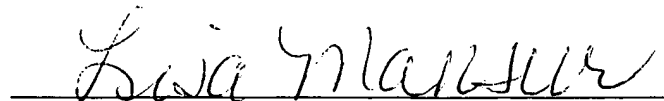


Stephen T. Sullivan
Reg. No. 32,444

CERTIFICATE OF MAILING

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/055,870	01/21/2002	Michael Saucier	1895-010

CONFIRMATION NO. 9212

FORMALITIES LETTER



OC000000007487458

Stephen T. Sullivan
SULLIVAN LAW GROUP
Suite 120
5060 North 40th Street
Phoenix, AZ 85018-2140**COPY OF PAPERS
ORIGINALLY FILED**

Date Mailed: 02/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$669.
 - \$585 for 65 total claims over 20.
 - \$84 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1104.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/24/2002 YPOULET1 0000062 10055870
01 FC:201 370.00 OP
02 FC:202 84.00 OP
03 FC:203 585.00 OP
04 FC:205 65.00 OP

A copy of this notice MUST be returned with the reply.

Wilcorame

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE